C08031 FSR with HE-BCI Survey 2008/09

Changes to HE-BCI Survey Part A questionnaire

Version 1.2 Produced 2009-11-27

Question	Change type	Question/option for 2007/08	Question/option for 2008/09
Q1	Word changes	Q1: In which areas do you see the HEI as a whole making the greatest contribution to economic development? (Please tick the top three only)	Q1: In which areas do you see your HEI as a whole making the greatest contribution to economic development? (Tick three)
Q1	Option removed	Strategic analysis of regional economy	
Q2	Word changes	Q2: Which of these Standard Industrial Classification (SIC) sectors do you work with? (Choose all that apply)	Q2: Which of these Standard Industrial Classification (SIC) sectors does your HEI work with? (Tick all that apply)
Q2	Change of SIC codes	SIC 2003	SIC 2007
Q2	Option removed	Other/please specify	
Q3	Word changes and instruction added	Q3: Please indicate how those SIC sectors were determined.	Q3: Please indicate how the sectors identified in Q2 were determined. (Tick all that apply)
Q4	Word changes and instruction	Q4: Please rank the following partners/clients in your third stream strategic priorities/aims in terms of benefits ultimately delivered?	Q4: Please rank the following partners/clients in your HEI's third stream strategic priorities/aims from 1-4 (where 1 is the highest) in terms of benefits ultimately delivered. Enter 0 (zero) if the option is not applicable to your HEI.
Q5	Word changes and instruction added	Q5: Please list which Sector Skills Councils (SSCs), if any, you engage with as part of your institution's B & C strategy.	Q5: Please tick which Sector Skills Councils (SSCs), if any, your HEI engages with as part of its Business and Community strategy. (Tick all that apply)
Q5	Update of Sector Skills Councils		
Q5	Option added		None of the above
Q6	Word changes	Q6: Which of the following areas is of greatest priority in your university's institutional mission? (Tick one box only).	Q6: Which of the following areas is of greatest priority in your HEI's mission? (Select one only)
Q6	Option removed	Regional/local/other area not of any significance to mission	
Q6	Words removed from options and now feature in guidance	1.) RDA area (e.g. East Midlands, South-West) 2.) Local authority area (county or unitary) 3.) Locality – city or town 4.) Area defined by the HEI (e.g. surrounding counties	1.) RDA area 2.) Local authority area 3.) Locality 4.) Area defined by the HEI

		especially if it crosses regional boundaries or is multi-county)	
Q7	This question has been split into 7a and 7b. Q7a is now concerned with business support only. Instruction also added.	Q7: Does your HEI have a strategic plan for business support or public and community engagement?	Q7a: Does your HEI have a strategic plan for business support? (Select one only)
Q7	Words removed from some options and now feature in guidance.	1.) No strategic plan in place. There is an ad hoc approach to business support. 3.) Strategic plan developed and only partially implemented. It may also be restricted to certain departments or central functions only. 5.) Strategic plan developed as a result of an inclusive process across the whole HEI. Accepted across almost all units and recommendations implemented. Use of plan to set targets and monitor achievement.	1.) No strategic plan in place 3.) Strategic plan developed and only partially implemented 5.) Strategic plan developed as a result of an inclusive process across the whole HEI
New question	Q7b added concerning public and community engagement	Q7: Does your HEI have a strategic plan for business support or public and community engagement?	Q7b: Does your HEI have a strategic plan for public and community engagement? (Select one only) 1. No strategic plan in place 2. Between 1 and 3 3. Strategic plan developed and only partially implemented. 4. Between 3 and 5 5. Strategic plan developed as a result of an inclusive process across the whole HEI
Q8	Word changes and instruction added	Q8: How would you rate the level of incentives for your staff to engage with business and the community?	Q8: How would you rate the level of incentives for staff at your HEI to engage with Business and the Community? (Select one only)
Q8	Options split in to question and guidance. Word change to Option 5 (the current option is now the guidance).	1.) Barriers outweigh any incentives offered. General corporate culture is focused on internal activities and narrow interpretation of teaching and research. Collaboration with business seen by staff as detrimental to career progression. 3.) Some incentives in place, but with some barriers remaining. Typically policy may be generally supportive but there is a lack of understanding across	1.) Barriers outweigh any incentives offered. 3.) Some incentives in place, but with some barriers remaining.

		the institution. Promotions committees still take a narrow focus on research even though guidance suggests industrial collaboration is valued equally. 5.) Strong positive signals given to all staff to encourage appropriate levels of external collaboration. Incentive procedures well established and clearly understood and applied.	5.) Strong incentives in place
Q9	Word changes and instruction added	Q9: How many of your institution's staff are employed in a dedicated Business and Community (Third Stream) function (full-time equivalents)?	Q9: How many members of staff at your HEI (full-time equivalent) are employed in a dedicated Business and Community (Third Stream) function? Enter 0 (zero) if the option is not applicable to your HEI.
Q10	Word changes	Q10: Does your HEI have an in-house capability to seek out licensing opportunities for its IP etc. or does it use an external agency? (Please indicate the principal method only)	Q10: Does your HEI have an in-house capability to seek out licensing opportunities for all its forms of IP (patents, copyrights, designs and trademarks), or does it use an external agency? (Select one only)
Q10	Option added		Yes, in-house capability and external agency
Q11	Option added		None of the above
Q12	Word changes and instruction added	Q12: Does the HEI have a commercialisation company or department to manage consulting links and other external interactions?	Q12: Does your HEI have any subsidiary companies or distinct departments of the organisation~~s~~ responsible for Business and Community interactions and what are they? (Select one only).
Q13	Word changes	Q13: When was the department established (DD/MM/YY) (for question 12)?	Q13: If applicable, when was the external company or department from Q12 established (DD/MM/YYYY)?
Q14	Word changes	Q14: Business and community representation on your governing body.	Q14: Please provide the following information concerning the Business and Community representation on your governing body or equivalent.
Q15	Word changes	Q15: Estimate the proportion of headcount (as a percentage) of your institution's academic and related staff (excluding the dedicated third stream staff returned under Q9 Infrastructure) directly providing services to:	Q15: Estimate the percentage of your HEI's academic and related staff (excluding the dedicated third stream staff returned under Q9 Infrastructure) who between 1 August 2008 and 31 July 2009 have directly provided services to:
Q16	Word changes and instruction added	Q16: Please compare, over the last 12 months, the level of engagement of your institution's staff in directly providing services to:	Q16: Please compare the level of engagement of your HEI's staff (between 1 August 2008 and 31 July 2009) in directly providing services to: (Please select a level of engagement for each row)

Q17	Word changes	Q17: Does the HEI exert ownership over intellectual property by any of the following? (Choose all that apply)	Q17: Does your HEI exert ownership over intellectual property by any of the following? (Tick all that apply)
Q17	Changes to words in some options	1.) HEI files patents in house (including HEI shared/collaborative action) 2.) HEI outsources filing on patents (to a non-HE organisation)	1.) HEI files IPR in house (including HEI shared/collaborative action) 2.) HEI outsources filing on IPR (to a non-HE organisation)
Q17	Option added		Not applicable
Q18	Word changes	Q18: Is there a requirement within the HEI to report the creation of the following types of intellectual property?	Q18: Is there a requirement within the HEI to report or disclose (internally) the creation of the following types of intellectual property to the disclosure (external relations etc.) company or department? (Select one response for each row)
Q19	Word changes	Q19: Are staff rewarded by the institution for the intellectual property which they generate? (Select one)	Q19: Are staff as individuals rewarded by the HEI, financially or by other means, for the intellectual property that they generate? (Select one)
Q20	Word changes	Q20: If this has changed since last year, please give a brief description of the arrangement below.	Q20: If reward arrangements have changed since last year, please give a brief description of the new arrangement below.
Q21	This question is now Q21a. Instruction added.	Q21: Does the HEI offer support for spin-offs through the following mechanisms, either provided by the HEI or in collaboration with a partner organisation?	Q21a: Does the HEI offer support for spin-offs through the following mechanisms, either provided by the HEI or in collaboration with a partner organisation (e.g. IP Group, Imperial Innovations, Biofusion)? (Select one response for each row)
New question	Q21b added		Q21b: Does the HEI offer support for start-ups through the following mechanisms, either provided by the HEI or in collaboration with a partner organisation (e.g. IP Group, Imperial Innovations, Biofusion)? (Select one response for each row) On-campus incubators Other incubators in the locality Science park accommodation Entrepreneurship training Seed corn investment Venture capital Business advice
Q22	Word changes	Q22: What, if applicable (i.e. written into staff	Q22: What, if applicable (i.e. written into staff

		regulations), is the maximum number of days per year a member of staff is permitted to devote to providing expertise and / or services for social and community groups, civic authorities, broadcast media and cultural events (excluding dedicated Business and Community Staff)?	regulations or institutional policy for external engagement), is the maximum number of days per year a member of staff is permitted to devote to providing expertise and/or services for social and community groups, civic authorities, broadcast media and cultural events?
Q22	Option added		Not applicable
Q23	Word changes	Q23: Please identify any social, community and cultural engagement activities which are carried out in your institution and are not covered in this survey.	Q23: Please identify any social, community and cultural engagement activities which are carried out in your HEI and are not covered in this survey.
Q24	Word changes	Q24: What indicators (not covered in this survey) can you propose for measuring the impact of social, civic and cultural activities?	Q24: What indicators (not covered in this survey) can you propose for measuring the impact of social, community and cultural engagement activities?
Q25	Instruction added		Enter 0 (zero) if the option is not applicable to your HEI.
Q26	No changes		
Q27	Word changes	Q27: Which roles does funding from regeneration programmes play for the HEI? (Please tick the three most important roles only)	Q27: If engaged in regeneration programmes which roles, if any, do funding from regeneration programmes play for the HEI? (Tick the three most important roles only)
Q27	Changes to words in some options	4.) Acquiring research equipment (used also by industry) 5.) Building strategic links with local industry 6.) Fulfilling regional mission through new services to industry	4.) Acquiring research equipment (used also by external partners) 5.) Building strategic links with local external partners **6.)** Fulfilling regional mission through new services to external partners
Q27	Option added		None of the above
Q28	Words removed from options and added to guidance	3.) Some representation of the HEI on local partnerships at senior management level, but with limited implementation capability. The main focus is on the research role and a possible property development role. 5.) Active and creative engagement with community programmes, with the HEI taking a leadership position and applying a wide variety of resources. Community regeneration is seen as a mainstream activity with roles for access policy, links to student community action and staff involvement as part of	3.) Some representation of the HEI on local partnerships at senior management level, but with limited implementation capability. 5.) Active and creative engagement with community programmes, with the HEI taking a leadership position and applying a wide variety of resources.

		staff development.	
Q29	Word change and instruction added	Q29: Does your institution provide the following courses?	Q29: Does your HEI provide the following courses? (Tick all that apply)
Q29	Changes to options	Distance learning for businesses Short bespoke course at companies' premises	Distance learning (online course content) Short bespoke courses at business' premises
Q29	Option added		None of the above
Q30	No changes		
Q31	Word changes Instruction added	Q31: To what extent is the HEI involved in the development and implementation of regional skills strategies in terms of the provision of expertise and data and the involvement of senior HE staff in regional partnerships?	Q31: To what extent is the HEI involved in the development and implementation of regional economic strategies in terms of the provision of expertise and data and the involvement of senior HE staff in regional partnerships? (Select one only)
Q31	Words removed from options and added to guidance	1.) Passive response to skills strategies. There is no involvement in steering committees, no provision of data or expertise. There is also no attempt to influence or respond to strategy during consultation. 3.) Some engagement. There is some engagement with regional partners and provision of expertise and data, but is approached as a narrow sectoral interest. Involvement is from officers with a defined role rather than leadership inputs. 5.) Pro-active engagement providing expertise, data, interpretation and leadership inputs. HEI is seen as a core asset in the region and a central element within the regional skills strategy.	1.) Passive response to skills strategies 3.) Some engagement 5.) Pro-active engagement
Q32	Instruction added. Words removed from options and now feature in guidance. Slight change of wording to options 3 and 5	1.) No monitoring of skills, general use of LMI, or collaboration with employers. 3.) There would be some changes in provision based on forecasting of demand using LMI, but little ongoing dialogue with employers and other bodies. LMI would typically be examined in central service units but not disseminated and used in departments. Sophisticated monitoring of systems at HEI level, with provision of appropriate data to individual departments. 5. Evidence that information from LMI and employer suggestions are acted upon at central and	1.) No monitoring. 3.) Moderate responsiveness 5.) Sophisticated monitoring

		departmental levels.	
Q33	Instruction added – Select one only Words removed from options and now feature in guidance	1.) No links with employers in development of locally oriented courses or overall shaping of the curriculum. 3.) Some dialogue with employers and other bodies about the nature of courses, but limited for example to specific vocational areas, or one-off exercises. 5.) All departments regularly consult with employers and other partners on curriculum where relevant. Specialist subjects are kept up to date and relevant to the labour market. More generic skills developed in all courses as required.	1.) No active involvement 3.) Moderate active involvement 5.) Active involvement