HESA FSR with HE-BCI Survey collection 2013/14

Changes to the HE-BCI Survey Part A

Version 1.0 2013-04-03

Text with blue background denotes entirely new option
Text with yellow background denotes word changes to existing (2012/13) question or option(s) or a change to the instructions for answering.
Text with grey background denotes question or option removed

2012/13 questions and options		**2013/14 questions and options** (including updated question numbers)	
Q1	**In which areas do you see your HEP as a whole making the greatest contribution to economic development?** (Tick three)	Q1	**In which areas do you see your HEP as a whole making the greatest contribution to economic development?** Tick ALL of the areas that apply and then indicate the three key contribution areas.
	Access to education		Widening participation/access
	Graduate retention in local region		Graduate retention in local region
	Technology transfer		Knowledge exchange
	Supporting small and medium size enterprises (SMEs)		Supporting small and medium size enterprises (SMEs)
			Helping with student and graduate enterprises
			Provision of incubator support
	Attracting inward investment to region		Attracting inward investment to region
	Research collaboration with industry		Research collaboration with industry
	Attracting non-local students to the region		Attracting non-local students to the region
	Support for community development		Support for community development
	Developing local partnerships		Developing local partnerships
	Management development		Management development
	Meeting regional skills needs		Meeting regional skills needs
	Meeting national skills needs		Meeting national skills needs
	Spin-off activity		Commercialisation (e.g. spin-off activity/licensing)
			Facilitating networks

Q2	Which of these Standard Industrial Classification (SIC) sectors does your HEP work with?		Question removed

Q3	Please indicate how the sectors identified in Q2 were determined. (Tick all that apply)	Q2	Please indicate how your HEP selects the sectors that it works with. Tick all that apply.
	The HEP is a specialist organisation focused on sector-specific areas		Option removed
	The HEP took its cue from priorities in RDA regional strategies		Option removed
	Response to demand from companies in these sectors The HEP identified important business clusters in its region		Option removed
	These sectors had best fit with the HEP's strategy		Option removed
	The HEP focused on a 'gap in the market' left by other HEPs		Option removed
			Best fit with the organisation's strengths
			Focus on the organisation's future strategy
			Existing collaborations (including those via staff and students)
			Response to demand from external partners
			Response to Government (local, national, devolved) policy
	Other - please specify		Other (please specify)

Q4	Please rank the following partners/clients in your HEP's third stream strategic priorities/aims from 1-4 (where 1 is the highest) in terms of benefits ultimately delivered. (Enter 0 (zero) if the option is not applicable to your HEP)	Q3	Please rank the following partners/clients in your HEP's third stream strategic priorities/aims from 1-4 (where 1 is the highest) in terms of benefits ultimately delivered. Enter 0 (zero) if the option is not applicable to your HEP.
	Commercial private business		Commercial private business
	Non-commercial social, community and cultural organisations		Non-commercial social, community and cultural organisations
	Public sector (commercial and non-commercial)		Public sector (commercial and non-commercial)
	Other		Other (please specify)

Q5	Please tick which Sector Skills Councils (SSCs), if any, your HEP engages with as part of its Business and Community strategy.		Question removed

Q6	Which of the following areas is of greatest priority in your HEP's mission? (Select one only)	Q4	Which of the following areas is of greatest priority in your HEP's mission? Tick ALL of the areas that apply and then indicate which the key area is.
	Regional Development Agency (RDA) area		Regions
	▨▨▨▨▨▨▨▨▨▨		Devolved Government region
	Local authority area		Local authority area
	Locality		Locality
	▨▨▨▨▨▨▨▨▨▨		International EU
	▨▨▨▨▨▨▨▨▨▨		Other international
	Area defined by the HEP		Area defined by the HEP

Q7a	Does your HEP have a strategic plan for business support? (Select one only)	Q5	Does your HEP have a strategic plan for business engagement? Select one only.
	1. No strategic plan in place		1. No strategic plan in place
	2. Between 1 and 3		2. Between 1 and 3
	3. Strategic plan developed and only partially implemented		3. Strategic plan developed and only partially implemented
	4. Between 3 and 5		4. Between 3 and 5
	5. Strategic plan developed as a result of an inclusive process across the whole HEP		5. Strategic plan developed and implemented as a result of an inclusive process across the whole HEP

Q7b	Does your HEP have a strategic plan for public and community engagement? (Select one only)	Q6	Does your HEP have a strategic plan for public and community engagement? Select one only.
	1. No strategic plan in place		1. No strategic plan in place
	2. Between 1 and 3		2. Between 1 and 3
	3. Strategic plan developed and only partially implemented		3. Strategic plan developed and only partially implemented
	4. Between 3 and 5		4. Between 3 and 5
	5. Strategic plan developed as a result of an inclusive process across the whole HEP		5. Strategic plan developed and implemented as a result of an inclusive process across the whole HEP

Q8	How would you rate the level of incentives for staff at your HEP to engage with Business and the Community? (Select one only)	Q7	How would you rate the level of incentives for staff at your HEP to engage with Business and the Community? Select one only.
	1. Barriers outweigh any incentives offered		1. Barriers outweigh any incentives offered
	2. Between 1 and 3		2. Between 1 and 3
	3. Some incentives in place, but with some barriers remaining		3. Some incentives in place, but with some barriers remaining
	4. Between 3 and 5		4. Between 3 and 5
	5. Strong incentives in place		5. Strong incentives in place

Q9	How many members of staff at your HEP (full-time equivalent) are employed in a dedicated Business and Community (third stream) function?	Question removed

Q10	Does your HEP have an in-house capability to seek out licensing opportunities for all its forms of IP (patents, copyrights, designs and trademarks), or does it use an external agency? (Select one only)	Q8	Does your HEP have an in-house capability to seek out licensing opportunities for all its forms of IP (patents, copyrights, designs and trademarks), or does it use an external agency? Select one only.
	Yes, in-house capability		Yes, in-house capability
	Yes, external agency		Yes, external agency
	Yes, in-house capability and external agency		Yes, in-house capability and external agency
	No action taken		No action taken

Q11	Does your HEP have a central dedicated unit which provides the following? (Tick all that apply)	Q9	Does your HEP have a structured system for providing the following? Tick all that apply.
	An enquiry point for SMEs		An enquiry point for SMEs
	Assistance to SMEs in specifying their needs		Assistance to SMEs in specifying their needs
	A required contracting system for all staff business and community interaction activities		A required contracting system for all staff business and community interaction activities
	Indemnity insurance for staff		Indemnity insurance for staff
	None of the above		None of the above

Q12	Does your HEP have any subsidiary companies or distinct departments of the organisations responsible for Business and Community interactions and what are they? (Select one only)	Q10	Does your HEP have any subsidiary companies or distinct departments of the organisations responsible for Business and Community interactions and what are they? Tick all that apply.
	No		No
	Yes, exploitation company		Yes, exploitation company wholly owned
	▨▨▨▨▨▨▨▨		Yes, exploitation company majority owned
	▨▨▨▨▨▨▨▨		Yes, exploitation company minority owned
	Yes, internal department		Yes, internal department
	Yes, both		Option removed

Q13	If applicable, when was the external company or department from Q12 established? (DD/MM/YYYY)		Question removed

Q14	Please provide the following information concerning the Business and Community representation on your governing body or equivalent.	Q11	Please provide the following information concerning the Business and Community representation on your governing body or equivalent.
	Total number of members on governing body		Total number of members on governing body
	Number that are from commercial business		Number that are from commercial business
	Number that are from social, community and cultural groups		Number that are from social, community and cultural groups
	Number from public sector organisations		Number from public sector organisations

Q15	Estimate the percentage of your HEP's academic staff (excluding the dedicated third stream staff returned under Q9 Infrastructure) who between 1 August 2010 and 31 July 2011 have directly provided services to:		Question removed

Q16	Please compare the level of engagement of your HEP's staff for this year's reporting period (1 August 2010 and 31 July 2011) compared		Question removed

	to last year's reporting period (1 August 2009 and 31 July 2010) in directly providing services to:		

Q17	Does your HEP exert ownership over intellectual property by any of the following? (Tick all that apply)	Q12	Does your HEP exert ownership over intellectual property by any of the following? Tick all that apply.
	HEP files IPR in house (including HEP shared/collaborative action)		HEP files IPR in house (including HEP shared/collaborative action)
	HEP outsources filing on IPR (to a non-HE organisation)		HEP outsources filing on IPR (to a non-HE organisation)
	HEP takes other IP protection action		HEP takes other IP protection action
	Not applicable		Not applicable

Q18	Is there a requirement within the HEP to report or disclose (internally) the creation of the following types of intellectual property to the disclosure (external relations etc.) company or department? (Select one response for each row: Always (1); Usually (2); Rarely/Never (3))	Q13	Does the HEP require its staff to report or disclose (internally) the creation of the following types of intellectual property to the disclosure (external relations etc.) company or department? Select one response for each row: yes or no.
	Inventions		Inventions
	Computer software or databases		Computer software or databases
	Literary or artistic works (e.g. copyright)		Literary or artistic works (e.g. copyright)
	Educational software and multimedia		Educational software and multimedia
	Industrial designs		Industrial designs
	Trademarks		Trademarks
	Integrated circuit topographies		Integrated circuit topographies
	New plant or animal varieties		New plant or animal varieties
	Other		Other

Q19	Are staff as individuals rewarded by the HEP; financially or by other means, for the intellectual property that they generate?	Q14	Are staff as individuals rewarded by the HEP; financially or by other means, for the intellectual property that they generate?
	Yes		Yes
	No		No

Q20	If applicable, please give a brief description of the reward arrangements below.	Q15	If applicable, please give a brief description of the reward arrangements below.

Q21a	Does the HEP offer support for spin-offs through the following mechanisms, either provided by the HEP or in collaboration with a partner organisation (e.g. IP Group, Imperial Innovations, Fusion IP)? (Select one response for each row: HEP (1); Partner (2); Both (3); None (4))	Q16	Does the HEP offer support for spin-offs through the following mechanisms, either provided by the HEP or in collaboration with a partner organisation (e.g. IP Group, Imperial Innovations, Fusion IP)? Select one response for each row: HEP (1); Partner (2); Both (3); None (4).
	On-campus incubators		On-campus incubators
	Other incubators in the locality		Other incubators in the locality
	Science park accommodation		Science park accommodation
	Entrepreneurship training		Entrepreneurship training
	Seed corn investment		Seed corn investment
	Venture capital		Venture capital
	Business advice		Business advice

Q21b	Does the HEP offer support for start-ups through the following mechanisms, either provided by the HEP or in collaboration with a partner organisation (e.g. IP Group, Imperial Innovations, Fusion IP)? (Select one response for each row: HEP (1); Partner (2); Both (3); None (4))	Q17	Does the HEP offer support for start-ups through the following mechanisms, either provided by the HEP or in collaboration with a partner organisation (e.g. IP Group, Imperial Innovations, Fusion IP)? (Select one response for each row: HEP (1); Partner (2); Both (3); None (4))
	On-campus incubators		On-campus incubators
	Other incubators in the locality		Other incubators in the locality
	Science park accommodation		Science park accommodation
	Entrepreneurship training		Entrepreneurship training
	Seed corn investment		Seed corn investment
	Venture capital		Venture capital
	Business advice		Business advice

Q22	What, if applicable (i.e. written into staff regulations or	Q18	In academic staff contracts - what is the maximum number of days

	organisational policy for external engagement), is the maximum number of days per year a member of staff is permitted to devote to providing expertise and/or services for social and community groups, civic authorities, broadcast media and cultural events?		per year academics may carry out private activities of engagement with business and the community (i.e. not recorded elsewhere in this survey)?"

Q23	Please identify any social, community and cultural engagement activities which are carried out in your HEP and are not covered in this survey.	Q19	What other business and community activities are carried out in your HEP and are not covered in this survey?

Q24	What indicators (not covered in this survey) can you propose for measuring the impact of social, community and cultural engagement activities?	Q20	What indicators (for any Business and Community interaction activity not covered in this survey) can you propose for measuring the impact of your engagement activities?

Q25	Which public sector areas (in terms of ultimate impact/benefit) do you engage with most in your third stream activities? (Rank the options 1-5 where 1 is the highest. Enter 0 (zero) if the option is not applicable to your HEP)	Q21	Which public sector areas (in terms of ultimate impact/benefit) do you engage with most in your third stream activities? Rank the options 1-5 where 1 is the highest. Enter 0 (zero) if the option is not applicable to your HEP.
	NHS and Health Authorities		NHS and Health Authorities
	Education		Education
	Transport authorities (public service)		Transport authorities (public service)
	Civic security authorities		Civic security authorities
	Other		Other

Q26	Please specify any 'other' public sector areas ranked in Question 25.	Q22	Please specify any 'other' public sector areas ranked in Question 21.

Q27	If engaged in regeneration programmes, which roles, if any, do funding from regeneration programmes play for the HEP? (Tick the three most important roles only)	Q23	If engaged in regeneration programmes, which roles, if any, do funding from regeneration programmes play for the HEP. Tick ALL of the roles that apply and then indicate which the three key roles are.

Additional funds for teaching, training	Option removed
Additional funds for research	Option removed
Enabling capital projects - new building/accommodation	Option removed
Acquiring research equipment (used also by external partners)	Option removed
Building strategic links with local external partners	Option removed
Fulfilling regional mission through new services to external partners	Option removed
Facilitating partnerships	Option removed
Enhancing knowledge of labour market needs	Option removed
Enhancing redesign of curriculum	Option removed
Facilitating community development	Option removed
None of the above	Option removed
Other - please specify	Option removed
	Adding/improving capability for teaching and learning (resource)
	Adding/improving capability for research (resource)
	Strengthening/facilitating links with the non-academic community
	New/additional funds for teaching capital (buildings)
	New/additional funds for teaching capital (equipment)
	New/additional funds for research capital (buildings)
	New/additional funds for research capital (equipment)
	Not engaged in any regeneration programmes

Q28	Which of the following statements best describes your partnership arrangements with local and regional bodies? (Select one only)	Q24	Which of the following statements best describes your partnership arrangements with local and regional bodies? Select one only.
	No engagement with community regeneration schemes, apart from individual efforts		No engagement with community regeneration schemes, apart from individual efforts
	Between 1 and 3		Between 1 and 3
	Some representation of the HEP on local partnerships at senior management level, but with limited implementation capability		Some representation of the HEP on local partnerships at senior management level, but with limited implementation capability
	Between 3 and 5		Between 3 and 5
	Active and creative engagement with community programmes, with the HEP taking a leadership position and applying a wide variety of		Active and creative engagement with community programmes, with the HEP taking a leadership position and applying a wide variety of

	resources		resources

Q29	**Does your HEP provide the following courses?** (Tick all that apply)	Q25	**Does your HEP provide the following courses?** Tick all that apply
	Distance learning (online course content)		Distance learning (online course content)
	Continuous work-based learning		Continuous work-based learning
	Short bespoke courses for business on campus		Short bespoke courses for business on campus
	Short bespoke courses at business premises		Short bespoke courses at business premises
	Extra-mural courses for the public		Extra-mural courses for the public
	None of the above		None of the above

Q30	**How are student business placements organised?** (Tick all that apply)	Q26	**How are student business placements organised?** Tick all that apply.
	None are currently arranged		None are currently arranged
	Via a central placement department		Via a central placement department
	Individual school or department		Individual school or department
	Via careers service		Via careers service
	Via student union		Via student union
	Ad hoc between students and businesses		Ad hoc between students and businesses
	Other - please specify		Other - please specify

Q31	**To what extent is the HEP involved in the development and implementation of regional economic strategies in terms of the provision of expertise and data and the involvement of senior HE staff in regional partnerships?** (Select one only)	Q27	**To what extent is the HEP involved in the development and implementation of regional/devolved government economic strategies in terms of the provision of expertise and data and the involvement of senior HE staff in regional partnerships?** Select one only.
	1. Passive response to skills strategies		1. Passive response to skills strategies
	2. Between 1 and 3		2. Between 1 and 3
	3. Some engagement		3. Some engagement
	4. Between 3 and 5		4. Between 3 and 5

	5. Pro-active engagement			5. Pro-active engagement

Q32	To what extent does the HEP monitor skills needs and sectoral change through labour market intelligence (LMI), and take this into account in planning provision? (Select one only)	Q28	To what extent does the HEP monitor skills needs and sectoral change through labour market intelligence (LMI), and take this into account in planning provision? Select one only.
	1. No monitoring		1. No monitoring
	2. Between 1 and 3		2. Between 1 and 3
	3. Moderate responsiveness		3. Moderate responsiveness
	4. Between 3 and 5		4. Between 3 and 5
	5. Sophisticated monitoring		5. Sophisticated monitoring

Q33	To what extent are employers actively involved in the development of content and regular reviewing of the curriculum? (Select one only)	Q29	To what extent are employers actively involved in the development of content and regular reviewing of the curriculum? Select one only.
	1. No active involvement		1. No active involvement
	2. Between 1 and 3		2. Between 1 and 3
	3. Moderate active involvement		3. Moderate active involvement
	4. Between 3 and 5		4. Between 3 and 5
	5. Active involvement		5. Active involvement