

Collaborative provision

Version 1.0 Produced 2017-02-16

Purpose

The following guidance is designed to allow the Unistats data to be reported against the provider teaching the course and, where aggregation is required, for the data shown to reflect the totality of the provision for that provider irrespective of how the provision is funded. Where students study at more than one provider as part of their course the Unistats record will normally be reported against the provider that registers the students and any aggregated data will reflect students on courses whose Unistats record are shown against the registering provider.

Overview

For franchised courses, or those involving collaborative provision, the Unistats record should, in general, be returned by the teaching provider. The registering provider is however responsible for ensuring that the Unistats record is completed. This also applies where a single course has students registered at two providers; this might happen where a provider has both direct and indirect funding or where the funding route for a course has changed so that successive cohorts are registered at different provider. There are two exceptions, to the above principle these are:

- Where the entirety of a providers's provision is franchised out from a single provider. i.e. the provider has no need to do a Unistats return of its own. The Unistats records must be returned by the registering provider.
- Where students study at two or more providers as part of the same course. i.e. they start the course at one provider and finish at another. The Unistats record will normally be returned by the registering provider.

Notes:

- If the same course is taught at two distinct providers but each student studies at only one provider, two separate Unistats records should be returned by the appropriate providers.
- For data purposes a student cannot change registering provider part way through a course unless a formal change in funding route is agreed with the provider's funding council.

Who is responsible for the Unistats return?

The following examples describe who is responsible for producing the Unistats record in a number of common scenarios. Further details regarding these are given in table 1 (numbers in brackets reflect example numbers in that table):

- The provider registers and teaches all of their courses – Unistats data is returned by registering provider (1).
- All of the provider's provision is franchised out from a single provider for all courses – Unistats data is returned by registering provider (2). The teaching provider does not make a Unistats return.
- The provider has a mixture of direct and indirect funding – the teaching provider is responsible for returning the Unistats (3-5).
- A provider teaches courses but the students are registered at multiple providers – Unistats data is returned by teaching provider (4).
- Students will study at more than one provider during the course – Unistats data is returned by registering provider (6-10).

- Change in franchising agreement; students are now directly funded or funded through a different partner – Unistats data is returned by the teaching provider (13-15).

Collaborative Provision: key fields and entities

There are two entities and two fields that control the collation of data for collaborative provision; entities `KISCourse.ILRAims` and `KISCourse.HESACourse`, and fields `KISCourse.TEACHUKPRN` and `Institution.OTHERINST`. The fields that should be completed will depend on the exact nature of the collaborative provision; a summary of how the fields will be used is given below:

Institution.OTHERINST – This field is used to indicate the franchise partners for providers that have a mix of funding routes. When a provider returns a Unistats record for a course for which the students are registered at a different provider they need to return the UKPRN of the registering provider. Every provider that franchises provision to the provider must be listed here and all students franchised to the provider from these partners will be included in the provider's Unistats aggregations. This may lead to a small number of students who are taught at more than one provider as part of their course being included in more than one set of Unistats aggregations.

KISCourse.TEACHUKPRN – This field should record the UKPRN of the provider teaching the course. Where students on a course are taught by more than one provider then multiple providers should be specified (including the provider's own UKPRN if they are one of the teaching providers). This field should only be returned where not all teaching providers for a course can be identified in the `HESACourse` or `ILRAims` entities. For example, new courses where these entities are not returned. `KISCourse.TEACHUKPRN` should therefore be returned with any provider offering teaching that is not identified in either `HESACourse.TEACHUKPRN` or `ILRAims.TEACHUKPRN`. Where multiple providers are specified and data is aggregated for this course they will only include students wholly taught at the registering provider or explicitly linked via `HESACourse.TEACHUKPRN` or `ILRAims.TEACHUKPRN`. If the returning provider is the only provider of teaching, this field does not need to be returned.

HESACourse.REGUKPRN and ILRAims.REGUKPRN – These entities are used to record the courses in the HESA Student/AP Student return or the ILR which the Unistats records link to. Correct linking is essential in allowing the correct NSS, DLHE and student data to be aggregated and published on the Unistats website. The below fields are those on these entities specifically relevant to collaborative provision.

HESACourse.REGUKPRN and ILRAims.REGUKPRN – These fields must be returned where franchised provision is returned by the provider teaching the courses, rather than the registering provider. In these cases, the UKPRN of the registering provider must be returned.

HESACourse.TEACHUKPRN and ILRAims.TEACHUKPRN These fields should be used to record the provider teaching the course. Each of these fields is used in two different ways. Where a provider has all of its provision franchised from a single provider then these fields indicate that provider. Where courses are taught at multiple providers these fields restrict the aggregations to only include students taught at the specified provider(s).

Where providers receive funding through a number of routes for example, an FE college that has both directly funded and franchised provision, the provider will need to work closely with its partner providers to ensure that it has the HESA course identifiers and/or ILRAim identifiers for any franchised in provision.

Example 1

Poppleton College is both directly and indirectly funded and hence makes a Unistats return in its own right. Poppleton College (UKPRN=22222222) teaches an HND in Motorsport Engineering that is franchised from Poppleton University (UKPRN=11111111). Poppleton University return the course on the HESA Student record with a course code of "MotoEng". In this case Poppleton College would return the Unistats record and would include Poppleton University in the OTHERINST field as the registering provider. The HESACourse entities with the HESACOURSEID of 'MotoEng' and Poppleton University in the REGUKPRN would then also be returned. Poppleton University would not need to return a Unistats record for this course.

XML snippet

```
<KIS>
  <INSTITUTION>
    <UKPRN>22222222</UKPRN>
    ....
    <OTHERINST>11111111</OTHERINST>
    ....
    <KISCourse>
      <HESACourse>
        <REGUKPRN>11111111</REGUKPRN>
        <HESACOURSEID>MotoEng</HESACOURSEID>
        <HESAYEAR>2013</HESAYEAR></HESACOURSE>
      </HESACourse>
    </HESACourse>
      <REGUKPRN>11111111</REGUKPRN>
      <HESACOURSEID>MotoEng</HESACOURSEID>
      <HESAYEAR>2014</HESAYEAR></HESACOURSE>
    </HESACourse>
  </HESACourse>
    <REGUKPRN>11111111</REGUKPRN>
    <HESACOURSEID>MotoEng</HESACOURSEID>
    <HESAYEAR>2015</HESAYEAR>
  </HESACourse>
  ...
</KISCourse>
</INSTITUTION>
</KIS>
```

The ILRAims entity works in an identical way but is used where the franchising provider is an FE college.

An OTHERINST must be returned for each provider that franchises to the provider returning the Unistats record. The OTHERINST element contains the UKPRN of the registering provider.

Example 2

Poppleton College is both directly and indirectly funded and hence makes a Unistats return in its own right. In 2015 and 2016 Poppleton College had an HND in Mechanical Engineering franchised from Poppleton University (HESA course ID = "MechEng"), the provision is no longer offered at Poppleton College so no Unistats record is produced for the course and no HESACourse entity would link to the "MechEng" COURSEID. The college continues to offer similar provision and therefore Poppleton College should return a Unistats record for the similar courses. The OTHERINST must be returned as follows:

<OTHERINST>11111111</OTHERINST>. Poppleton College would continue to return the OTHERINST for 3 years after the Mechanical Engineering course last had students as after this point they would no longer inform any of the Unistats aggregations.

Example 3

All of Poppleton College's (UKPRN=22222222) provision is franchised from Poppleton University and therefore Poppleton College does not complete its own Unistats return. Poppleton University should include all of Poppleton College's courses within its Unistats return. Poppleton College teaches an HND in Motorsport Engineering. The course is returned on the HESA Student record with a course code of "MotoEng". In this case Poppleton University would return the Unistats record but would include the UKPRN of Poppleton College in the HESACOURSE.TEACHUKPRN field.

XML snippet

```
<HESACourse>  
  <TEACHUKPRN>22222222</TEACHUKPRN>  
  <HESACOURSEID>MotoEng</HESACOURSEID>  
  <HESAYEAR>2015</HESAYEAR>  
</HESACourse
```

Table 1 – Who should return a Unistats record for a course?

The flow diagram below is designed for the registering provider to decide whether they need to return the Unistats record for a course.

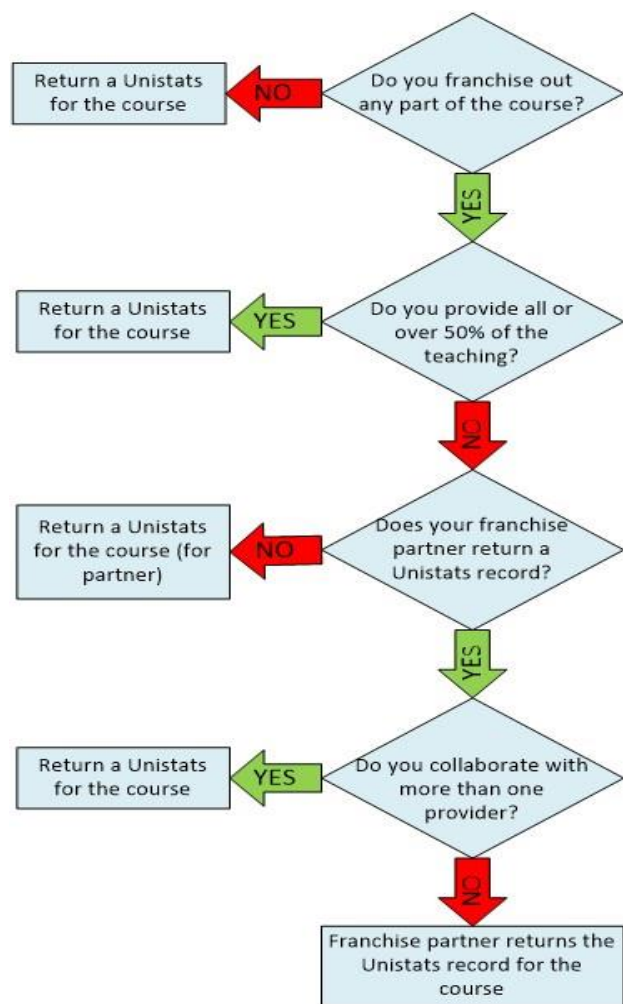


Table 1- Unistats return scenarios

Number	Example	KIS data returned by	Aggregations reflect	Additional fields to Complete	XML snippet
Registering provider provides the majority of teaching in all years					
1	Registering provider A provides all of the teaching and if there is any franchising, it's a minority (less than 50% of course FTE of each year).	Provider A	Provider A	None	N/A
Provider has all of its provision franchised out from a single provider					
2	Provider B has all of its provision franchised out from provider A.	Provider A	Provider B	TEACHUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE>
Teaching provider has a mixture of directly and indirectly funded students					
3	Provider B has some directly funded courses which it teaches and also has some courses which are completely franchised out from provider A.	Provider B	Provider B	OTHERINST REGUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <REGUKPRN>A</REGUKPRN> </HESACOURSE>
4	Provider B has some courses with students registered by both the teaching provider B and another reporting provider A.	Provider B	Provider B	OTHERINST REGUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <REGUKPRN>A</REGUKPRN> </HESACOURSE> <ILRAIMS> <ILRAIMID>ABCD1234</ILRAIMID> <ILYEAR>2011</ILYEAR> </ILRAIMS>
5	Provider B has some courses which are completely franchised from each of providers A and C.	Provider B	Provider B	OTHERINST REGUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <REGUKPRN>A</REGUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>C1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <REGUKPRN>C</REGUKPRN> </HESACOURSE>
All of the provision for two providers is franchised out from a single provider, students study at more than one provider.					
6	The course is taught at multiple providers A and B during the year. The majority of the teaching is done at provider B in all years. Student data are returned by registering provider A. Students attend both providers during each year.	Provider A	Provider B	TEACHUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE>

	i.e. Courses where students are taught at more than one provider and one of them is the registering provider.				
7	The course is taught at multiple providers B and C. The majority of the teaching is done at provider B in all years. Student data are returned by registering provider A. Students attend both providers during each year. i.e. Courses where students are taught at more than one provider and neither are the registering provider.	Provider A	Provider B	TEACHUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE>
8	The course is taught wholly at provider B in year one, wholly at C in year two, provider A registers the students	Provider A	Provider A	TEACHUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR><TEACHUKPRN> >C</TEACHUKPRN> </HESACOURSE>
9	Courses which start at the registering provider A and then move to provider B before the end of the course. i.e. courses where students are taught at more than one provider and start at the registering provider.	Provider A	Provider A	TEACHUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> </HESACOURSE>
10	Courses which are taught at provider B in the first year and then move to the registering provider A before the end of the course. i.e. courses where students are taught at more than one provider and complete at the registering provider.	Provider A	Provider A	TEACHUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> </HESACOURSE>
Courses offered at more than one provider					
11	The entire course is taught at two distinct providers B and C, all students are registered provider A. i.e. courses where students choose to study either at provider B or C, but not both.				Two Unistats returns should be made, one by provider B and one by provider C. See scenarios 3-5 If scenario 2 applies, the Unistats data would be returned by provider A.
12	The course starts at registering provider A and then the student can choose to continue at A or at provider B.				Two Unistats returns should be made. For the students who continue at provider A one of scenarios 2-5 should be used, for the students that transfer to provider B, use scenario 9
Change in franchising agreement					
13	The course was taught at provider B and returned by registering provider A, but the course no longer exists. provider n B has a mix of funding routes so is making a	Provider B	Provider B	OTHERINST	<OTHERINST>A</OTHERINST>

	Unistats return .				
14	The course was taught at provider B (an FEC) and returned by registering provider A up to 2010. This franchising agreement no longer exists with the student being registered and taught by provider B.	Provider B	Provider B	OTHERINST REGUKPRN	<HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2009</HESAYEAR> <REGUKPRN>A</REGUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2010</HESAYEAR> <REGUKPRN>A</REGUKPRN> </HESACOURSE> <ILRAIMS> <ILRAIMID>12345678</ILRAIMID> <ILRYEAR>2011</ILRYEAR> </ILRAIMS>
15	The course was previously taught and registered at provider B. All provision by B is now franchised from provider A.	This case rarely happens and will require systems intervention at HESA/HEFCE, contact liaison at HESA for assistance			
Multiple feeder provider leading to single course at registering provider					
16	Registering provider A has feeder courses that are run at providers B and C before combining into single course at A	Provider A	Provider A	TEACHUKPRN LOCID (one for each feeder)	<HESACOURSE> <HESACOURSEID>B1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>C1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>C</TEACHUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>A1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> </HESACOURSE>
17	Registering provider A has feeder courses that are run at providers B and C before combining into single course at A. The course is relatively new and currently only has students studying at B and C, that is none have progressed to study at A yet.	Provider A	Provider A	TEACHUKPRN LOCID	<TEACHUKPRN>A</TEACHUKPRN> <HESACOURSE> <HESACOURSEID>C1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>B</TEACHUKPRN> </HESACOURSE> <HESACOURSE> <HESACOURSEID>C1</HESACOURSEID> <HESAYEAR>2011</HESAYEAR> <TEACHUKPRN>C</TEACHUKPRN> </HESACOURSE>

Which data is included in the data aggregations at Unistats reporting level?

In compiling subject based statistics for student, NSS and DLHE data in the relevant* year:

- Students registered with provider A who are not taught for more than 50% of the year at another teaching institution B, are automatically included in the reporting figures for provider A.
- Students, who are taught more than 50% at a franchise partner but no UKPRN is given, are automatically included in the reporting figures for the registering provider.
- Students registered with provider A, who are taught more than 50% of the year at teaching provider B, are not routinely included in the reporting figures for provider A. These students will be included in the aggregations for provider A if the UKPRN for provider B is returned in either HESACourse.TEACHUKPRN or ILRAims.TEACHUKPRN as part of a KISCourse containing multiple different TEACHUKPRN.
- Students registered with provider A, who are taught more than 50% of the year at teaching provider B, will only be included in the aggregations for provider B if:
 - Provider B is making a Unistats return and the UKPRN for provider A is returned in the OTHERINST field. Note the students will only be included if the UKPRN for provider B has been returned in the TINST field on its HESA Student record or the PRIPROV field on the HESA AP Student Record.
 - Provider B does not make a Unistats return (that is they are wholly franchised from A). In this case provider A must return the Unistats data on behalf of provider B. All students franchised to provider B for more than 50% of the year are included in the aggregations for provider B.
- Where students study at more than one provider as part of the course then students on those courses will be included in the aggregations for the registering provider.

Where provider A is returning a Unistats record for provider B as all of providers B's provision is franchised out from A, the data for all students who are franchised out to provider B for more than half of their time in the relevant* year, will be included.

* In this context the relevant year is the year to which the statistics relate e.g. the penultimate year for the NSS and the final year for the DLHE.